

Docket No. 293946US40PCT



*DFW*  
**MAIL STOP PCT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shoya YODA, et al.

SERIAL NO: 10/588,514

GAU:

FILED: August 4, 2006

EXAMINER:

FOR: WATER-SOLUBLE RESIN, HAIR COSMETIC MATERIAL CONTAINING THE SAME, AND SILICONE OIL ADSORPTION ASSISTANT

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Richard D. Kelly

Registration No. 27,757

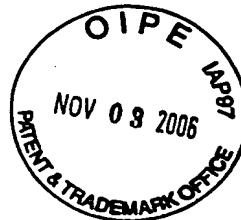
Customer Number

**22850** •

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423



U.S. PCT Application Serial No: 10/588,514

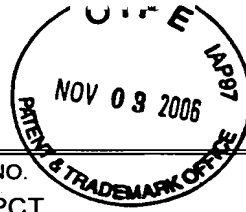
Filed: August 4, 2006

Shoya YODA, et al.

Docket No. 293946 US

#### STATEMENT OF RELEVANCY

- 1) References            have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AO-AP are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AA-AD & AQ-AT are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
293946US40PCTSERIAL NO.  
10/588,514

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Shoya YODA, et al.FILING DATE  
August 4, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 123 933	09/06/2000	HAYAMA et al.			
	AB	6 375 932	04/23/2002	HIWATASHI et al.			
	AC	2004 0223933	11/11/2004	HIWATASHI et al.			
	AD	2005 0249692	11/10/2005	HIWATASHI et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	2003 212733	07/30/2003	JP (equivalent of US 2003 0170197)		NO
	AP	2003 34704	02/07/2003	JP (with English abstract and computer generated translation)		NO
	AQ	2003 146852	05/21/2003	JP (with English abstract and computer generated translation)		NO
	AR	2003 277794	10/02/2003	JP (with English abstract and computer generated translation)		NO
	AS	2000 302649	10/31/2000	JP (with English abstract and computer generated translation)		NO
	AT	2005 75861	03/24/2005	JP (with English abstract and computer generated translation)		NO
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.